A COMPREHENSIVE STUDY OF MAJOR TECHNIQUES OF MULTI
LEVEL FREQUENT PATTERN MINING: A SURVEY

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Abstract

Frequent pattern mining has become one of the most popular data mining approaches for the analysis of purchasing patterns. There are techniques such as Apriori and FP-Growth, which were typically restricted to a single concept level. We extend our research to study Multi-level frequent patterns in multi-level environments. Mining Multi-level frequent pattern may lead to the discovery of mining patterns at different levels of hierarchy. In this study, we describe the main techniques used to solve these problems and give a comprehensive survey of the most influential algorithms that were proposed during the last decade.

Index Terms: Data Mining, Data Transformation, Frequent Pattern Mining (FPM), Transactional Database.

1. INTRODUCTION

Frequent patterns are itemsets, subsequences, or substructures that appear in a data set with frequency no less than a user-specified threshold. For example, a set of items, such as milk and bread, that appear frequently together in a Transaction data set, is a frequent itemset. Finding frequent patterns plays an essential role in mining associations, correlations, and many other interesting relationships among data. Moreover, it helps in data indexing, classification, clustering, and other data mining tasks as well. Thus, frequent pattern mining has become an important data mining task and a focused theme in data mining research.

Frequent pattern mining was first proposed by Agrawal [1] for market basket analysis in the form of association rule mining. It analyses customer buying habits by finding associations between the different items that customers place in their “shopping baskets”. For instance, if customers are buying milk, how likely are they going to also buy cereal (and what kind of cereal) on the same trip to the supermarket? Such information can lead to increased sales by helping retailers do selective marketing and arrange their shelf space.

One approach to multilevel mining would be to directly exploit the standard algorithms in this area – Apriori [1] and FP-Growth [2] by iteratively applying them in a level by level manner to each concept level. In this paper, we focused on the study of frequent patterns based on the FP-tree [3].

Many scholars have published a tons of research work on frequent pattern mining. There have been extensive studies on the improvements or extensions of Pattern mining has been extensively studied in data mining communities for many years. A variety of efficient algorithms such as PrefixSpan [4],[5], FP-tree [6],[7] have been proposed. These research works have mainly focused on developing efficient mining algorithms for discovering patterns from a large data collection. However, searching for useful and interesting patterns and rules was still an open problem [8]. Some of the basic mining techniques : Apriori, FP-Growth etc.

2. APRIORI ALGORITHM

Apriori is a algorithm proposed by R. Agrawal and R Srikant in 1994 [1] for mining frequent item sets for Boolean association rule. The name of algorithm is based on the fact that the algorithm uses prior knowledge of frequent item set properties, as we shall see following. Apriori employs an iterative approach known as level-wise search, where k item set are used to explore (k+1) item sets. There are two steps in each iteration. The first step generates a set of candidate item sets. Then, in the second step we count the occurrence of each candidate set in database and prunes all disqualified candidates (i.e. all infrequent item sets). Apriori uses two pruning technique, first on the bases of support count (should be greater than user specified support threshold) and second for an item set to be frequent , all its subset should be in last frequent item set The iterations begin with size 2 item sets and the size is incremented after each iteration. The algorithm is based on the closure property of frequent item sets: if a set of items is frequent, then all its proper subsets are also frequent.

3. FP-GROWTH ALGORITHM

FP-growth [9] is a well-known algorithm that uses the FP-tree data structure to achieve a condensed representation of the
database transactions and employs a divide and-conquer approach to decompose the mining problem into a set of smaller problems. In essence, it mines all the frequent itemsets by recursively finding all frequent itemsets in the conditional pattern base which is efficiently constructed with the help of a node link structure. A variant of FP-growth is the H-mine algorithm [10]. It uses array-based and trie-based data structures to deal with sparse and dense datasets respectively. FPgrowth* [11] uses an array technique to reduce the FP-tree traversal time. In FP-growth based algorithms, recursive construction of the FP-tree affects the algorithm’s performance.

### TABLE 1:

<table>
<thead>
<tr>
<th>TID</th>
<th>Items bought (ordered) frequent items</th>
</tr>
</thead>
<tbody>
<tr>
<td>100</td>
<td>{z, s, i, d, g, r, e, n}</td>
</tr>
<tr>
<td>200</td>
<td>{s, h, i, z, l, e, o}</td>
</tr>
<tr>
<td>300</td>
<td>{h, z, t, j, o, w}</td>
</tr>
<tr>
<td>400</td>
<td>{h, i, k, s, n}</td>
</tr>
<tr>
<td>500</td>
<td>{s, z, i, e, l, u, e, n}</td>
</tr>
</tbody>
</table>

1. Scan DB once, find frequent 1-itemset (single item pattern)
2. Sort frequent items in frequency descending order, f-list
3. Scan DB again, construct FP-tree

min\_support = 3
F-list= z-i-s-h-e-n

### 4. METHODOLOGY USED

#### A. ASCENDING FREQUENCY ORDERED PREFIX-TREE (AFOPT)

AFOPT is an efficient algorithm for mining frequent itemsets. It adopts the pattern growth approach and uses a compact data structure---Ascending Frequency Ordered Prefix-Tree (AFOPT) to represent the conditional databases[12]. The AFOPT tree structure is traversed top-down. Compared with the descending frequent order and bottom-up traversal strategy adopted by the FP-growth algorithm, the combination of the top-down traversal strategy and ascending frequency ordering method requires less pointers to be maintained at each node and it also reduces the traversal cost of individual conditional databases.

The goal of mining frequent closed itemsets or maximal frequent itemsets is to reduce output size. An itemset is closed if all of its supersets are less frequent than it. An itemset is maximal if none of its superset is frequent. The complete set of frequent itemsets can be recovered from the set of frequent closed itemsets or the set of maximal frequent itemsets. From frequent closed itemsets, the support information of itemsets can be recovered, but it cannot be recovered from maximal frequent itemsets.

#### B. ADAPTIVE AFOPT ALGORITHM - ADA AFOPT

An algorithm, named ADA AFOPT, which can be used to resolve the multilevel frequent pattern mining problem. The algorithm is obtained by extending the AFOPT algorithm for multi-level databases. The features of the AFOPT algorithm[12] i.e. FP-Tree, FP-Tree based pattern fragment, item partition based divide and conquer method are well preserved. This algorithm uses flexible support constraints. To avoid the problem caused by uniform support threshold, mining with various support constraints is used. Uniform support threshold might cause problems of either generating uninteresting patterns at higher abstraction level or missing potential interesting patterns at lower abstraction are level. At each level, we classify individual items into two categories: normal items and exceptional items.

ADA AFOPT algorithm favours users by pushing these various n transactions, support constraints deep into the mining process. The interestingness of the patterns mound generated, hence, is improved dramatically. Being based on the AFOPT algorithm, this algorithm first traverses the original database to find frequent items or abstract levels and sorts them in ascending frequency order. Then the original database is scanned the second time to construct an AFOPT structure to represent the conditional databases of the frequent items.
C. TRANSACTION REDUCTION TECHNIQUE

Theorem: If \( c \in F_k \) and \( c.\text{support} < \text{min.support} \), \( T \text{items} \leq k \), \( k = 1 \), then \( c \) is useless in \( F_{k+1} \) where \( F_k \) is Frequent pattern, \( c \) is an itemset in each transaction and \( T \text{items} \) is total item count in each transactions.

**Proof 1:** [For \( c \in F_k \)]

Consider a transaction \( T_i = \{T_1, T_2, T_3... T_m\} \). Let \( T_1 = \{a_1, a_2, a_3... a_n\} \), \( T_2 = \{a_1\} \). Since \( c \) is a hierarchy data. Whenever the lower-level items of \( c \) achieve a support count, the higher –level items should be added into the reduced transaction table. For Example data \( c \) is \( 111, 211... \). Satisfies support count, therefore the higher –level items of \( 11*, 21*... \) and the next higher-level also \( 1**, 2** \) should be added into the reduced transaction table. If lower-level items of \( c \) does not satisfy the min.support, then lower-level items of \( c \) is removed from the reduced transaction table. Hence the proof.

**Proof 2:** [For \( T \text{items} \leq k \) where \( k = 1 \)]

Now consider the same transaction \( T_i = \{T_1, T_2, T_3... T_m\} \). Let \( T_1 = \{a_1, a_2, a_3... a_n\} \), \( T_2 = \{a_1\} \). During frequent \( k+1 \) pattern generation transaction \( T_2 \) requires at least 2 as item count and if not then, \( T_i \) can be rejected from the transaction table. Hence the proof.

Let us consider the following Example with sample database.

**TABLE: 1** Sample Database

<table>
<thead>
<tr>
<th>TID</th>
<th>Items</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1</td>
<td>{111,121,211,221}</td>
</tr>
<tr>
<td>T2</td>
<td>{111,211,222,323}</td>
</tr>
<tr>
<td>T3</td>
<td>{112,122,221,411}</td>
</tr>
<tr>
<td>T4</td>
<td>{111,121}</td>
</tr>
<tr>
<td>T5</td>
<td>{111,122,211,221,413}</td>
</tr>
<tr>
<td>T6</td>
<td>{113,323,524}</td>
</tr>
<tr>
<td>T7</td>
<td>{131,231}</td>
</tr>
<tr>
<td>T8</td>
<td>{323,411,524,713}</td>
</tr>
</tbody>
</table>

| CCB – Tree Algorithm [13] has been used to find multilevel frequent 1 pattern. |
TABLE: 2

<table>
<thead>
<tr>
<th>Techniques</th>
<th>Pre-processing</th>
<th>Feature extraction</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ascending Frequency Ordered Prefix-Tree (AFOPT)</td>
<td>To Traverse the trees in top-down depth-first order</td>
<td>Sorted tree (Ascending order)</td>
<td>Feasible and effective</td>
</tr>
<tr>
<td>ADAPTIVE AFOPT algorithm - ADA AFOPT</td>
<td>To Scan the traversed tree</td>
<td>Scanned Tree</td>
<td>Excellent</td>
</tr>
<tr>
<td>Transaction Reduction Technique</td>
<td>To Reduce Non candidate Pattern</td>
<td>Reduced Search tree with less I/O cost.</td>
<td>Good</td>
</tr>
</tbody>
</table>

5. COMPARISON

Table 2 shows the comparison between the techniques that are discussed in this paper. The characteristics used to distinguish are: pre-processing, feature extraction, database and result. Pre-processing is the main step in frequent pattern mining. Second characteristic is feature extraction which gives the extracted features that are used in classification. In AFOPT and ADA-AFOPT the tree is sorted and arranged in ascending order. Transaction Reduction Technique based method is used to reduce the unwanted candidates and transactions and applying the resulted transactions in FP-tree as input to subsequent iterations of the mining process. It reduces the I/O costs and search spaces without losing any patterns.

CONCLUSIONS

This paper's objective is to present the major techniques of multilevel frequent pattern mining. This paper surveys some of the important techniques. The techniques considered in this paper are Ascending Frequency Ordered Prefix-Tree (AFOPT), Adaptive Afopt algorithm (ADA AFOPT), Transaction Reduction Technique. The experimental results shows that AFOPT with ADA AFOPT gives excellent result, Transaction Reduction Technique are also good in minimizing I/O cost.

REFERENCES

BIOGRAPHIES

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