REALIZATION OF OFDM BASED UNDERWATER ACOUSTIC COMMUNICATION

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Abstract

Nowadays underwater communication plays a vital role in applications from commercial extends to military purposes. Present underwater communication systems involve the transmission of information in the form of sound, electromagnetic (EM), or optical waves. All these techniques has their own benefits and limitations. Acoustic communication is the most versatile and widely used technique in underwater environments because of its low attenuation compared with others. Acoustic waves are more applicable for thermally stable, deep water settings. But acoustic waves in shallow water can be adversely affected by temperature gradients, surface ambient noise, and multipath propagation due to reflection and refraction. The much slower speed of acoustic propagation in water, about 1500 m/s, compared with that of electromagnetic and optical waves, and is another limiting factor for efficient communication and networking. Nevertheless, the currently favorable technology for underwater communication is upon acoustics.

In this paper, we are planning to design a simple underwater acoustic system. We first discuss about the problems of underwater communication. Then we are designing a data transmission system in underwater and its analysis is done in the next step.

Keywords: Underwater acoustic communication, Orthogonal frequency division multiplexing, Differential phase shift keying

1. INTRODUCTION

Underwater communication has a vital role in future technology. As we all know that our earth consists of 70% of water. Since wired communication has the practical limitations in underwater, we have to develop wireless network that can work under water. It plays an important role in investigating climate change, heterogenous characteristics of seawater, oil production facilities and harbors using unmanned underwater vehicles (UUVs), submarines, and in underwater wireless sensor networks (UWSN).

As in all communication networks, there will be a medium for data transmission between transmitter and receiver. In underwater the possible ways of data transmission are EM waves, optical waves and acoustic waves. Even though EM waves have high speed, they can be used only up to a frequency range of less than 300Hz because of their conducting nature in seawater. Also for using EM waves require high power transmitters and antennas[10]. Similarly most of the optical energy will get lost because of scattering while using optical waves for transmission. So the possible way of transmission is acoustic waves.

In order to improve the efficiency we are using a modulation by Orthogonal Frequency Division Multiplexing (OFDM) technique for acoustic waves. The results show satisfactory results. OFDM is motivated because of simple implementation and its capability to travel over long times read channels. In OFDM the frequency selective bandwidth is divided into narrower flat fading sub channels. OFDM transmits signals over these multiple orthogonal sub-carriers simultaneously and performs robustly in severe multi-path environments achieving high spectral efficiency and higher data transmission rate. Also while using OFDM the need of complex time-domain equalizers can be omitted, due to its robustness against frequency selective fading and narrowband interference. The first section contains the system model in which channel model is derived. The next section contains the analysis and results.

2. SYSTEM MODEL

Underwater acoustics communication is the communication between the underwater nodes by acoustic (sound) waves. Mainly it uses the frequency range of 10Hz-1MHz. But as frequency increases the loss rate also increases. A sound wave propagating underwater consists of alternating compressions and rarefactions of the water. These compressions and rarefactions are detected by a receiver, such as the human ear or a hydrophone, as changes in pressure.

We have to take care about the underwater characteristics before designing an UWA system. They are heterogeneous characteristics of underwater, attenuation due to absorption, multipath fading due to reflection and refraction, loss due to Doppler effect and noises in underwater. Because of the heterogeneous characteristics the speed of sound in water is about 1500m/s. Soundspeed in water increases with...
increasing pressure, temperature and salinity. The maximum speed in pure water under atmospheric pressure is attained at about 74°C; sound travels slower in hotter water after that point; the maximum increases with pressure. Though some sounds can travel remarkable distance through the water, higher frequency sound is absorbed much faster than low frequency. The main cause of sound absorption is the presence magnesium sulphate and boric acid, and geometrical spreading.

The frequency of the received sound will be different from that of the sound radiated because of the relative motion between transmitter and receiver. This change in frequency is known as a Doppler shift. The frequency drift due to Doppler Effect cause the overlapping of frequency channel and thus, spread the channel further apart and occupying a wider frequency range. Because of this attenuation occurs at receiverside. The ambient noise in underwater is mainly due to four sources. They are turbulence noise, shipnoise, wind driven noise and thermal noise. These noises are frequency dependent and also depend on windspeed, shipping factor etc. Thermal noise increase with frequency whereas the remaining noiseseffect at lower frequencies.

### 2.1 UNDERWATER CHANNEL MODEL

The crucial part of underwater communication is the modeling of an underwater channel. The acoustic waves under the water absorbed due to the spreading of the wave energy and energy density also decays. Thus, the first model is to formulate the relationship between the transmission loss with respect to the acoustic channel characteristic and the distance of transmission as the attenuation model. Attenuation that take place in an underwater acoustic communication over a distance d [m] for a signal with frequency f [kHz] can be calculated using the Thorp model[1] which can be written as:

\[ A(d, f) = d^g a(f)^d \]

Where, g represents the spreading factor and a(f)[dB/km] isthe absorption coefficient. Value g indicates the geometry of propagation; typical values are g = 2 for spherical spreading, g = 1 for cylindrical spreading and g = 1.5 for the alleged practical spreading. The absorption coefficient can be calculated by using the Throp’s formula as

\[ 10 \log a(f) = 0.11 \frac{f^2}{1 + f^2} + 44 \frac{f^2}{4100 + f^2} + 2.75 \times 10^{-4} \]

\[ \times f^2 + 0.003 \]

As the absorption coefficient increases rapidly with frequency, attenuation due to absorption at high frequencies causes large loss. The four main sources of noise in an underwater system are: turbulence, shipping, waves, and thermal noise. These noises can be modeled by using Wenz model as the functions of frequency in kHz[3].

\[ 10 \log N_i(f) = 17 - 30 \log(f) \]

\[ 10 \log N_s(f) = 40 + 20(s-0.5) + 26 \log(f) - 60 \log(f+0.003) \]

\[ 10 \log N_w(f) = 50 + 7.5w^{0.5} + 20 \log(f) - 40 \log(f) + 0.4 \]

\[ 10 \log N_{th}(f) = -15 + 20 \log(f) \]

N(f) represents the overall PSD of total noise in dB. The effect of noise on the signal is dependent on the signal to noise ratio (SNR), which can be formulated as

\[ SNR(d, f) = P / (A(d, f)N(f)\Delta f) \]

Where d is distance, f is the signal frequency and \( \Delta f \) is the receiver noise bandwidth. The value of s denotes the shipping activity factor which normally ranges from 0 to 1. Similarly windspeed is denoted by w. Since underwater is a frequency selective fading channel, multipath in underwater can be modeled as Rayleigh fading whose coefficients are random.

### 2.1 OFDM in Underwater

Single carrier transmission means one radio frequency carrier is used to carry the information. Hence information in the form of bits carried by one single RF carrier. In OFDM, also known as multicarrier modulation, uses multiple carriers sending some bits on each channel. In OFDM[8,17], all of the subchannels are dedicated to a data source. Multicarrier modulation especially OFDM is suitable for multipath environment as its carriers are orthogonal. As symbol period increases, the error rate is small compared with single carrier. Similarly the data rate is more for multicarrier modulation. But the problem of peak to average ratio (PAPR) arises in case of OFDM.

In this paper DPSK is used for subcarrier modulation for OFDM[18]. In DPSK, input binary sequence is first differentially encoded and then modulated using a BPSK modulation[15]. It reduces the receiver complexity as we do not need any channel estimation and save precious spectral efficiency.

### 3. SIMULATION AND RESULTS

Absorption can be modeled by using thorp model and the obtained figure is shown in figure1.
Since attenuation increases with frequency, we can not take large frequencies. Using the Wenz model the attenuation due to noise is plotted for a frequency range of 1 to 40 kHz. The shipping noise activities can be modeled through the shipping activity factor $s$ where by the value is ranging between 0 and 1 for low and high activity, respectively. Figure 2 shows shipping noise for different values of $s$.

In our project we are taking 0.5 for $s$. Surface motion, caused by wind-driven waves depend on windspeed, which is normally varying from 0 to 50 m/s. Figure shows the attenuation due to various values windspeed.

Here for designing the project $w$ is set to be 25.

From the figure 4, it is understood that turbulence noise, shipping noise and wind noise are decreasing with frequency but thermal noise drastically increase with frequency. The frequency response of underwater channel obtained as
From the figure 5 it is clear that maximum SNR obtains at a frequency range is 4 to 10kHz. As transmitting power increases, SNR value will increase. So here we are taking this frequency range for OFDM transmission between two fixed nodes.

The obtained bit error rate corresponding to the 64 bit and 128 bit OFDM transmission are tabulated in Table 1.

<table>
<thead>
<tr>
<th>Modulation Scheme</th>
<th>No of bits transmitted</th>
<th>No of errors</th>
<th>BER</th>
</tr>
</thead>
<tbody>
<tr>
<td>DPSK</td>
<td>64</td>
<td>4</td>
<td>0.0625</td>
</tr>
<tr>
<td>4-QAM</td>
<td>64</td>
<td>12</td>
<td>0.1875</td>
</tr>
<tr>
<td>DPSK</td>
<td>128</td>
<td>35</td>
<td>0.2734</td>
</tr>
<tr>
<td>4-QAM</td>
<td>128</td>
<td>52</td>
<td>0.4063</td>
</tr>
</tbody>
</table>

Table 1

It is clear that OFDM with DPSK modulation perform better than other modulation. But the error rate in underwater is very large compared with that of air.

4. CONCLUSION

This paper presented a study on modeling of underwater acoustic wireless communication with a detail model on the channel characteristic, environmental noise, signal to noise ratio. From this analysis it is understood that OFDM with DPSK modulation offers better performance while comparing with other schemes, since underwater is multipath environment. Optimum frequencies are obtained at 4 to 12KHz for a small transmission distance with the highest SNR.

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