PRESENT STATUS OF PLANT DERIVED INDIGO DYE - A REVIEW

Sanchita Sharma¹, Chandraprabha M.N²

¹Department of Biotechnology, M.S. Ramaiah Institute of Technology, Bangalore – 560054, Karnataka, India
²Department of Biotechnology, M.S. Ramaiah Institute of Technology, Bangalore – 560054, Karnataka, India

Abstract

Indigo is the world's most produced synthetic dye because of its use in the denim industry. The wastewaters from the dyeing industry are loaded with pollutant which is the reason there has been an interest in the revival of the indigenous system of dyeing through plant derived indigo. India was the major producer of Indigo in the middle ages with producing 80% of the world’s indigo. It is crucial to understand biosynthetic pathway of indigo production so that the quality and purity of the dye can be enhanced and be at par with the synthetic indigo. In this article we review the present status of the major indigo producing plants with respect to dye yield and purity.

Keywords: Indigo, Yield, Indigofera spp., Polygonum tinctorium, Isatis spp., Natural Dye

1. INTRODUCTION

India has been considered as pioneer in the art of natural dyeing. It is one of the world’s twelve mega diversity countries and harbors more than 450 dye yielding plants (Siva 2007). Indigo is one of the most important dye compounds that has been known for dyeing clothes from past 2000 years. It was therefore also referred to as the King of dyes (Xia 1992, Teanglum 2012). India was one of the major producers of plant derived indigo in the middle ages but later during 18th century after the synthesis of synthetic indigo, the market for plant derived indigo started declining and was eventually lost into oblivion (Gulrajani 2001, Kumar 2004). It was due to the competition from synthetic indigo which was over 90% pure and much cheaper than the indigo produced in India mainly from Indigofera tinctoria which had poor yield (0.2%-0.5%) and purity (20% to 90%) (Bechtold 2009). The global market for dyestuffs is about 800 kt (Stoker 1998) of which indigo is the majorly produced dyestuff with approx 200,000 t due to its use in the denim industry for imparting the distinctive blue color (Buscio 2014). Herbal dyes constitute a market of about 1 billion US$, growing at the rate of 12% per annum due to the harmful effects of synthetic dyes (Gokhale et al. 2004).

Indigo is not present in the plants as a native compound but its precursors are present as secondary metabolite (Stoker 1998a). The indigo precursor indican (indoxyl β-D-glucoside) may provide a defence mechanism against several pathogens as secondary metabolites have protective actions (Daykin 2011). Indican is found in higher quantity in the green leaves of the plant and is absent in other tissues. It is localized in the vacuoles of the leaf cells and increases with the growth of the leaves and development of chloroplasts (Minami 2000). The indigenous knowledge of indigo dyeing is still being practiced in some small communities but due to its disappearance from the global market, there is a lack of knowledge regarding the understanding of the biosynthetic pathway of this secondary metabolite (Xia 1992).

However, now there are several growing environmental concerns over the use of synthetic dye because of the reducing agent used in the dyeing industry, sodium dithionite is harmful to the environment (Padden 1999). Germany, the biggest supplier and discoverer of synthetic dyes was the first country to ban the use of certain azo dyes in 1996 given their allergic and carcinogenic effects of human health (Kar and Borthakur 2008). Therefore several efforts are being made to revive and regenerate the indigo producing plants across the globe in order to improve the dye yield and purity and reintroduce it as an alternative to the synthetic indigo. In this article we review the present status of the major indigo producing plants with respect to dye yield and purity.

Indigo Synthesis in major plant sources: The blue indigo dye has been obtained from various plants originating from different parts of the world mainly Indigofera spp. (Asia, Africa, America), Periscaria (Polygonum) tinctorium (Japan, Europe, China, Korea) and Isatis tinctoria of Europe (Maier 1990, Maugard et al. 2001).

1. Indigofera tinctoria: There are about 250-300 species of Indigofera across the world but not all species yield dye. Indigofera tinctoria produces the superior quality dye than any other plant and is therefore also referred to as true indigo (Teanglum 2012). The British established trade with India due to I. tinctoria. The indigo precursor in L. tinctoria is indican which is found mainly in the leaves with content ranging from 0.2% to 0.76% (Feeser 2012). When the plant leaves are damaged, an enzyme β-glucosidase hydrolyses indican to form indoxyl which dimerises to form insoluble indigo. In the presence of oxygen, indoxyl forms isatin as a side product. The condensation of indoxyl with isatin produces indirubin, a red pigment which is similar to indigo blue in structure (Laitonjam 2011). The indigo formed is insoluble in water so it has to be base-oxidized in order to make it soluble. This soluble form of indigo is called leuco indigo which is a colorless substance and can again be turned into indigo blue after oxidation by air (Chanayath et al. 2002).
Indigofera sp. is a leguminous plant therefore its roots can deposit nitrogen in the soil. After the dye was extracted from Indigofera, the remaining plant material was used as manure in the paddy fields. Indigofera was a rotational crop which enriched and prepares the soil for the paddy crop. So if indigo is cultivated then after extracting the dye even the plant residue could be used as a fertilizer that can fulfill 50% requirement of nitrogen leaving no wastage and saving land and money by reducing the use of fertilizers (Balaram 2011).

2. *Periscaria (Polygonum) tinctorium*: It is also known as dyer's knotweed or Japanese indigo (Koch 2013). It has more indigo content (3-5 times) than *Isatis tinctoria* (Bechtold 2009). It contains only one indigo precursor Indican (Gilbert 2004). When the plant cells of *P. tinctorium* are broken, β-glucosidase degrades indican into indoxyl and glucose and indoxyl molecule dimerizes by air oxidation to form indigo (biindoline-3,3′-dione) (Minami 2000). (Fig. 2).
3. *Isatis* spp.: *Isatis tinctoria* is commonly known as woad and consists of about 30 species most of which can produce indigo (Bechtold 2009). It is the earliest and commonest sources of indigo in Europe. There are two indoxyl derivatives present in the woad leaves, a major precursor isatan B (indoxyl 3-(5-keto-gluconate) and a minor precursor indican (indoxyl 3-O-β-D-glucoside). Hydrolysis of indican and isatin B forms free indoxyl which dimerises to form indigo. (Kokubun et al. 1998). Indigo is derived from these derivatives and indirubin is formed as a byproduct. (Maugard et al. 2001)

Another species *Isatis indigotica* Fort. or Chinese woad, is also a popular indigo producing plant. On comparison of *Isatis tinctoria* with *Isatis indigotica*, the latter showed higher amount of isatan B (up to +55%) but *Isatis indigotica* has less suitability and adaptation towards climatic conditions so *Isatis tinctoria* is a preferred source (Angelini 2007).

![Indigo formation Pathway in Isatis tinctoria (Daykin 2011)](http://www.esatjournals.org)

Yield and Purity of Indigo and its Precursors: Several attempts were made during colonial India to revive the dying indigo industry in an attempt to meet the competition of synthetic dye. In the late nineteenth century, John Augustus Voelcker suggested the use of high quantity of manure to increase the indigo yield. J. Bridges Lee had registered three specific patents for improving indigo yield. His patents include: applying calibrated pressure on indigo leaves in the steeping vat, slow bath method of oxidation and turning out indigo slabs. Eugene Schrottky registered in Bengal seven patents on various chemical and mechanical processes and sold them to the Bengal Indigo Manufacturing Company, one of the largest indigo companies in Bihar and reportedly his methods helped in increasing the dye yield to 50 pounds per vat as compared to earlier 25.5 pounds per vat (Kumar 2012).

Christopher Rawson proposed an ammonia gas process but his new methods and processes did not pass beyond the experimental stage. Key advance was made at Dalsingserai in terms of success with a new, higher-yielding, foreign variety of indigo procured from abroad – Java indigo. It was capable of consistently giving a 50% higher yield than the native variety (*Indigofera tinctoria*). Java indigo in Bihar suffered from a major incidence of wilt in 1909 leading to a large-scale crop failure (Kumar 2012). Synthetic blue dye has now acquired a major portion of the world market due to the denim industry as about 10⁸ blue jeans are produced annually which makes indigo the largest producing synthetic dye (Buscio 2014) therefore a lot of research is going on across the globe for the revival of natural indigo. Several factors that can possibly affect the dye yield such as climatic and environmental factors, different subspecies, modified extraction processes etc. are being studied. Dye yield and purity is variable among different species but has been improved than before.

Modified extraction process: In the earlier attempts made to improve the indigo yield the values ranged from near 0.05 mg/g (Kokubun et al. 1998) but in 1901 Plowright reported dye yield of 3-4.8 mg/g. Based on the method, an extraction method was reported by Stoker et al. 1998a, that yielded 0.38±0.12 mg/g dye from woad. Several modified extraction processes have also been experimented with to improve the recovery of indigo. Hartl and vogl in 2003 reported 0.22 and 0.64% yield of indigo from leaf dry matter of *P. tinctorium*. Qian et al. in 2005 recovered more than 95% indigotin and indirubin from indigo naturalis using high performance liquid chromatographic (HPLC) separation but in 2006, Hou et. al. reported 96.9% recovery of indigo and indirubin from Baphicacanthus cusia, by a slightly different method involving HPLC. According to a
study by Laitonjam 2011, maceration of small cut pieces of fresh indigo leaves with water increases the dye yield. It was also suggested that the activity of the enzyme β-glucosidase was highest in fresh plant leaves and decreases when exposed to heat and dryness (Chayanath 2002, Laitonjam 2011). Kukula-Koch et. al. 2015 observed that a shorter extraction duration increases the indigo content. Comlekcioglu et. al. in 2015 extracted indigo dye from four Isatis species namely, Isatis tinctoria L and wild forms of Isatis buschiana Schischkin, Isatis candelleana and Isatis tinctoria L. subsp. corymbos. Among all the species, the highest amount of dye was reported in Isatis tinctoria and Isatis candelleana (4.19 mg/g and 2.53 mg/g) respectively. They also proposed that yield also depends on the harvesting season of the crop. A list of different extraction procedures for indigo is presented in table 1.

<table>
<thead>
<tr>
<th>Plant source</th>
<th>Extraction Condition</th>
<th>Indigo dye yield</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indigofera tinctoria</td>
<td>72h maceration of 100g leaves pH 4.8</td>
<td>4.001 mg/g</td>
<td>Laitonjam et. al. 2011</td>
</tr>
<tr>
<td></td>
<td>Chemical extraction via permealization with methanol</td>
<td>8 +/- 2 µg/g</td>
<td>Wu et. al. 1999</td>
</tr>
<tr>
<td></td>
<td>24h maceration of 100g leaves at pH 4.8</td>
<td>12.2 mg/g</td>
<td>Chayanath et. al. 2002</td>
</tr>
<tr>
<td>Polygonum tinctorium</td>
<td>Traditional ‘Niram method’ of Korea</td>
<td>21.7% (from 1.25 g crude dye at pH 3.5) and 17.8% from 1g crude dye at pH 6 and 0.810% yield from scale up production</td>
<td>Shin et. al. 2012</td>
</tr>
<tr>
<td>Isatis tinctoria</td>
<td>Hot water application at pH 11</td>
<td>4.19 mg/g</td>
<td>Comlekcioglu et. al. 2015</td>
</tr>
<tr>
<td></td>
<td>Hydrolysed in alkaline condition at pH 9 and extracted at pH 3</td>
<td>554.6875 mg/g</td>
<td>John et. al. 2015</td>
</tr>
<tr>
<td>Baphicacanthus cusia</td>
<td>24h maceration of 100g leaves at pH 4.8</td>
<td>30.1 mg/g</td>
<td>Chayanath et. al. 2002</td>
</tr>
<tr>
<td>Brem.</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Strobilanthus flaccidifolius</td>
<td>72h maceration of 100g leaves pH 4.8</td>
<td>4.748 mg/g</td>
<td>Laitonjam et. al. 2011</td>
</tr>
<tr>
<td>Lonchocarpus cyanescens</td>
<td>cold maceration in alkaline solution</td>
<td>20.5% wt/wt</td>
<td>Oduro et. al. 2013</td>
</tr>
<tr>
<td>Isatis candelleana</td>
<td>Hot water application at pH 11</td>
<td>2.53 mg/g</td>
<td>Comlekcioglu et. al. 2015</td>
</tr>
</tbody>
</table>

**Role of environmental factors:** The role of environmental factors may also affect the dye yield. Some field studies have shown the importance of such environmental factors. Stoker et. al. 1999b reported that indigo yield increases from Isatis tinctoria increases in the presence of sufficient light may be because of an increase in the production of indigo precursors in sunlight. Sales et al. in 2006 studied the difference between species and sowing date on the yield of Isatis Spp. which ranged between 0.4-0.8g/kg. Dhanasekaran et al. in 2008 screened 20 germplasms of Indigofera tinctoria for biomass and dye yield and concluded that among all the accessions, IT-5, IT-11, IT-13 showed higher dye yield than the rest and further, IT-5 reported the highest dye yield and biomass. Sandoval-Salas in 2006 studied the effect of harvest time on the dye yield of Indigofera suffruticosa Mill, and found that the accumulated indigo in the leaves was about 0.01 g⁻¹. Islam et al. in 2007 reported an average yield of 0.00485 per g plant material. They also reported that when the water temperature is increased during soaking, the dye yield also increases. In 2012, Orsini et al. evaluated the effect of mineral and nitrogen fertilization on indigo dye yield and biomass production of Isatis tinctoria. They reported that nitrogen fertilization has no effect on the dye concentration but it does increases the biomass production.

**Other Factors:** It was seen that addition of other enzymes have no significant role in the hydrolysis of indican. In 2003, Angelini et al. evaluated the activity of enzyme β-Glucosidase in Polygonum tinctorium for indigo production where the original enzyme was compared with two other enzymes, sweet almond β-glucosidase and Novarom G. The results showed that Novogram G was capable of hydrolyzing indican more efficiently than almond β-glucosidase. In another study Angelini et al. in 2004, observed the effect of climatic factors on the indican content and indigo yield of Polygonum tinctorium. The outcome showed that among the three lines of species being tested, there was a significant difference in the indican content that ranged from 12-25 g per kg, highest during rainy season. In 2007 Angelini et. al. performed a comparative study between Isatis tinctoria and Isatis indigotica and observed a significant difference between the major indigo precursors isatan B. For Isatis tinctoria isatan B ranged between 1-2
g/kg where as for I. indigotica it was between 4.9-1.5 g/kg. There was no significant difference between minor indigo precursor indican (0.3-0.7 g/kg). But Indigofera tinctoria is a preferred crop due to its higher re-growth capacity and biomass production.

Apart from the climatic conditions, certain impurities also tend to reduce the indigo yield and purity. Garcia-Macias and John in 2004 proposed that highly pure natural indigo can be produced from Isatis tinctoria that can meet the standards of synthetic dye, by eliminating the impurities. The study focused on reducing the impurities and recovering maximum pure indigo. According to John et al. 2015, in P. tinctorium the purity of the indigo varies from 5% to 60% when produced by the traditional method. He observed that washed leaves gave more pure indigo dye 18-24% with an average yield of 0.5 g/kg. Sedimentation of indigo in acid medium and washing raw indigo in dilute HCl after grinding the dried product also improved its purity up to 68%.

Discussion: The use of indigo blue dye goes back as long as the invention of textile itself. It has been used as a dye since the neolithic period. The remains of indigo blue have been found in all great civilizations, Egyptians, Romans. During colonial era the British established trade with India due to its most superior quality dye obtained from Indigofera tinctoria which once occupied world’s 80% market. Being a vat dye indigo requires no mordant before dyeing has a good color fastness. It can become an important source of livelihood for the local artisans and farmers. Synthetic dyes are a much cheaper and pure comparatively but due to its carcinogenic and pollution related affects its usage is now being questioned. Some countries have even imposed a ban on some dyes because of their hazardous and non biodegradable effects on the other hand these plant derived dyes contain antimicrobial and antifungal properties. Moreover the remains obtained after extraction can be used as a fertilizer. Therefore there has been a growing demand for naturally derived indigo dye and the results from the research shows that a significant progress has been made for some European plant species extracted with 68% purity. However there is limited information available on the Indian species Indigofera therefore a fundamental research on this species is desirable. The major barrier in the commercialization of natural dyes is its high cost and low reproducibility therefore targeted efforts are required in this direction which can make the natural dye extraction more economical.

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